

THINKING OF CHANGING FIRMS?

Use this checklist to compare Raymond James with other firms to help you identify which firm is the best fit for your business, clients, and professional goals.

	Raymond James	Firm Name:	Firm Name:
INDEPENDENCE & FLEXIBILITY			
Independent firm (not bank-owned)	✓		
Full book ownership (guaranteed to advisor in writing)	✓		
Business model options for advisors (employee/ independent agent/ registrant)	✓		
Self-clearing	✓		
ADVISOR SERVICES			
Financial planning	✓		
Estate planning & insurance products	✓		
Trust services	✓		
Tax planning & preparation services	✓		
Cross-border accounts & services	✓		
Trading (equities, fixed income, FX)	✓		
Discretionary portfolio management	✓		
Asset management services	✓		
Proprietary & third-party research	✓		
Practice management	✓		

Firm Name:

Firm Name:

Raymond
James

MARKETING SERVICES

Advisor website program	✓		
Social media program	✓		
Client communications & prospecting tools	✓		
Co-branding	✓		
Corporate marketing materials	✓		
Event support	✓		

TRANSITION SERVICES

Dedicated transition consultant	✓		
Full-time transition team	✓		
Comprehensive orientation (technology, advisory services, registrations, marketing, compliance)	✓		

RESOURCES & SUPPORT

Regional education conferences	✓		
Annual national business conference	✓		
Continuing education credits	✓		
Top performers recognition	✓		
Professional referral program	✓		
Network for women advisors	✓		
Charitable foundation & giving programs	✓		
Employee health & wellness program	✓		
Employee diversity & resource groups	✓		