## RAYMOND JAMES®

## Independent Advisor Services (IAS)

Changing firms is a significant milestone, one that requires careful planning, time, and thoughtful execution. At Raymond James Ltd., we believe every advisor deserves a firm that supports their long-term vision and empowers them to build a prosperous business.

## Week 1 Week 2 Week 3 Do's and don'ts Product review · Technology offering • Resignation process Household review Registrations · Holiday list Marketing Stationery Week 6 Week 5 Week 4 Asset management services · Account transfers · Raymond James (USA) Ltd. · Branch manager/Tier 1 Financial planning Private Investment Insurance Management Group · Client information sheet Week 8 **Transition Day 1** Week 7 · Transition workflow · Advisor onboarding Resignation Documentation and · License transfer approach agreements • Resignation process recap · Technology set up Demonstrations Support Post-Transition · Transition handover Client information collection Account creation/submission PCG training · Transition survey Transfers initiation Account approvals

· Progress report